			Control Activities		Information and Communication		Monitoring Activities		Risk Assessment	
			Is there a written	A		044	Is a review and	Is data / reporting	Are errors recorded	Is there a plan in place
Focus Area				Are procedures	Has there been training?	Other means of I & C?	approval process in	analyzed for accuracy /	and analyzed for	for management to
	Performance of the State VR Services and State Supported Employment Services Programs	Responsible Person	policy?	written?	training?	OF I & C.	place?	reasonableness?	correction?	address risk?
	Section: Data Analysis									
	VR Agency Profile				1					
	What recent trends in the employment rate has the VR agency experienced?									
3a.	How does the agency determine measurable skill gains (MSGs)?									
5.	Is the VR agency substantially meeting the time frames for eligibility determination and									
	individualized plans for employment (IPE) development?									
6a.	Describe which of the five major disability categories are being served most by the VR agency? Which									
	are being served the least?									
9a.	How many participants have a supported employment goal?									
10a.	VR Process Identify trends in the number of total applicants for the past three Federal Fiscal years.				I	1				
10a.	identify trends in the number of total applicants for the past three Federal Fiscal years.									
11.	Identify trends in the total number of eligible individuals for whom an IPE has not been developed for									
11.	FFY 2016, 2017, 2018 and compare to the number of applicants over the same time frame.									
	Are there significant changes in the number of individuals served under an IPE? Are there individuals									
14a.	accepted for services who do not receive services?									
	At what points are individuals with disabilities exiting the VR program prior to a signed IPE and									
16.	receipt of services and what are their major reasons for exiting?									
	a. after apllication but before eligibility									
	b. during or after trial work experience									
	c. after a determination of ineligibility									
	d. after eligibility from an OOS waiting list, if applicable									
	e. after eligibility, but prior to a signed IPE									
18a.	For individuals with disabilities exiting the program after IPE development and service provision but									
	without an employment outcome, can the VR agency describe why these exits are occurring?									
	VR Services				T	•				
19a.	What training, career, and other services are provided most often and least often (by percentage) for									
	all individuals served?									
	Quality of Employment Outcomes				T	1				
35.										
	Are individuals achieving substantial gainful activity and/or reducing the need for public support?									
39.	In PY 2018, what were the five most common SOC codes in which competitive employment outcomes were classified? Were they representative of the broad range of SOC categories or concentrated in									
39.	particular categories?									
<del>                                     </del>	Particular Categories:									
40.	In PY 2018, which SOC codes are associated with the highest and lowest median hourly wages?									
	Pre-Employment Transistion Service									
	What percentage of students with disabilities reported on the RSA-911 is receiving pre-employment									
48a.	transition services?									
	Of those receiving one or more pre-employment transition services, how many are applicants of the									
49.	VR program? How many are portentially eligible?									
	How many students with disabilities are exiting pre-employment transition services without applying									
50a.	for further VR services?									
F4-	Which of the five required pre-employment transition services does the VR agency provide most and									
51a.	least frequently?									
	Section: RSA-911 Data Reporting Internal Controls									
52.										
32.	How does the VR agency's quality assurance system ensure that data are reported accurately?									

			Control Activities		Information and Communication		Monitoring Activities		Risk Assessment	
		I.					Is a review and	Is data / reporting	Are errors recorded	Is there a plan in place
Focus Area			Is there a written	Are procedures	Has there been	Other means	approval process in	analyzed for accuracy /	and analyzed for	for management to
	Performance of the State VR Services and State Supported Employment Services Programs	Responsible Person	policy?	written?	training?	of I & C?	place?	reasonableness?	correction?	address risk?
	Section: Data Analysis	•								
	VR Agency Profile									
2b.	What strategies is the agency undertaking to improve its employment rate?									
3b.	What efforts is the VR agency making to increase the number of individuals eligible for MSGs who									
30.	achieve an MSG?									
4.	How does the VR agency ensure accurate reporting of MSGs?									
	For individuals who have an IPE and have received no services, what is the VR agency's explanation									
7.	for these individuals not receiving services and what stategies has the agency developed to address									
	this issue?									
8.	Does the agency have any stategies for increasing the number of participants in the VR program?									
	What barriers has the agency encountered in increasing the number of participants?									
9b.										
	Any strategies to maintain or increase the number of participants with supported employment goals?					<u> </u>				
106	VR Process				I	1				
10b.	Justify the trands in the number of total applicants for the past three Federal Fiscal years.									
	Are there significant changes in the number of individuals served under an IPE? Are there individuals									
14b.	accetped for services who do not receive services? <b>Describe any changes and the effect on</b>									
	performance overall for the VR agency. Discuss the projected performance implications.									
	performance overall for the VK agency. Discuss the projected performance implications.									
	What strategies has the VR agency employed to improve the timely flow of applicants through the									
15.	various stages of the VR process, including the determination of eligibility within 60 days and the									
	development of the IPE within 90 days following the determination of eligibility.									
	What is the VR agency doing to reduce the number of individuals exiting from the program prior to									
17.	the receipt of services and without an employment outcome?									
	Describe strategies the VR agency is employing to increase the number of individuals achieving an									
18b.	employment outcome?									
	VR Services									
19b.										
190.	How might the provision of services affect the quality of employment outcomes for the VR agency?									
26.	Has the agency made any significant changes in its service delivery models?									
27.	How is the VR agency embracing and reporting MSGs and planning for credential attainment?									
27.	Specifically, how is the VR agency providing post secondary and vocational training leading to									
	credentials and improved opportunities for quality employment outcomes?									
28.	To what extent, if any, is the VR agency using comprehensive transition programs to assist individuals									
	with intellectual and developmental disabilities in their journey to employment?									
29.	What types of services is the agency providing to assist individuals with disabilities in gaining work									
20	skills (for example, work-based learning experiences, apprenticeships, internships, etc.)?									
30.	To what extent is the agency providing job retention services?									
-	Quality of Employment Outcomes				l	T				
31.	Given its employment rate, what strategies has the VR agency developed to improve its overall performance in assisting individuals to achieve competitive integrated employments or supported									
31.	employment, including customized employment?									
<b>—</b>	How does the agency view its performance related to median hourly wages and median hours					1				
33.	worked? Has the agency compared its performance to any state information on median hourly wage									
33.	and earning for the general population?									
<u> </u>	Has the agency encountered any challenges or barriers in assisting individuals with disabilities in									
34.	achieving quality employment outcomes? If so, please dicuss these.									
	What stategies has the VR agency employed to increase the number of employment outcomes in					1				
38.	supported employment at competitive wages at or above minimum wage?									
	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1		i	i						

Г		How is the VR agency 'rethinking' its policies and stategies to assist individulas with the most					
	44.	significant disabilities to achieve quality supported employment outcomes consistent with their					
		informed choice and career goals?					

			Control A	ctivities	Information and Communication		Monitoring Activities		Risk As	sessment
			La Alancia a constata con	A		011	Is a review and	Is data / reporting	Are errors recorded	Is there a plan in place
Focus Area			Is there a written	Are procedures	Has there been	Other means	approval process in	analyzed for accuracy /	and analyzed for	for management to
	Performance of the State VR Services and State Supported Employment Services Programs	Responsible Person	policy?	written?	training?	of I & C?	place?	reasonableness?	correction?	address risk?
	Section: Data Analysis				•					
	VR Agency Profile									
C.I.	Describe which of the five major disability categories are being served most by the VR agency? Which									
6b.	are being served the least? What accounts for the difference?									
	VR Services					•				
20.	Describe any correlation between the services being provided with the employment outcomes, both									
	in terms of the quality of employment outcomes and the quantity of employment outcomes.									
24	Are the services being provided meeting the specific and unique needs of individuals in each of the									
21.	five major disability categories?									
22	How as WIOA affected the availability and provision of services by the VR agency leading to quality									
22.	employment outcomes?									
	Have there been changes in the policies or strategies employed by the VR agency to provide service									
25.	(for example, implementation of a financial needs test, cost containment measures, use of									
	comparable services or benefits, etc.)?									
	Quality of Employment Outcomes				•	•				
32.	Discuss and identify with the VR agency the factors related to any changes in the percentage of									
52.	comptitive integrated employment outcomes in recent years.									
36.	Discuss any challenges related to obtaining and using state quarterly wage data or supplemental									
30.	employment data for'									
	a. individuals emplyed withing the state									
	b. individuals employed outside the state									
	c. individuals employed in occupations not covered by state quarterly wage data, including Federal									
	employment, self-employment, and employment in non-covered agricultural occupations.									
	How does the VR agency determine the effectiveness of its strategies to improve the quality and									
	quantity of employment outcomes, including supported employment outcomes? Describe any									
37.	evaluation plans or methods to evaluate the effectiveness of these strategies. Describe any									
	preliminary evaluation findings that indicate discernable effects on the performance of the VR agency									
	as a result of implementing these strategies.									
45.	To what extent is the VR agency using the short-term basis to assist individuals with the most									
43.	significant disabilities to achieve competitive integrated employment?									
46.	To what extent is the VR agency using extended services for those with the most significant									
40.	disabilities?									
	Section: RSA-911 Data Reporting Internal Controls									
53.	What technical assistance does the VR agency need on the requirements for developing and									
	implementing improved internal controls to ensure that data reported are accurate and complete?									
54.	What training and technical assistance does the VR agency need on the type of source documentation									
J-4.	required to validate the data reported through the RSA-911?					L				
	Section: Technical Assistance									
55.	What technical assistance needs does the VR agency have on any issue or topic related to this focus									
	area?									
	Has the VR agency received technical assistance from one or more of the RSA-funded technical									
57.	assistance centers on a topic or issue related to this focus area? If so, from which center? Was the									
] ,,	technical assistance universal, targeted, or intensive? Please describe the technical assistance									
	received. What were the objectives/results?									
		<del></del>	-	•	•		•		•	

			Contol Activities		Information and Communication		Monitoring Activities		Risk Assessment	
Focus Area	ı Performance of the State VR Services and State Supported Employment Services Programs	Responsible Person	Is there a written policy?	Are procedures written?	Has there been training?	Other means of I & C?	Is a review and approval process in place?	Is data / reporting analyzed for accuracy / reasonableness?	Are errors recorded and analyzed for correction?	Is there a plan in place for management to address risk?
	VR Process									
13.	If the VR agency is not on an OOS, is the VR agency considering implementing an OOS and, if so, describe its reasons for considering an OOS. If the agency is not considering implementing an OOS, are there indicators that the agency is over capacity, such as delays in IPE development or service delivery?									
	Pre-Employment Transistion Service									
47.	How is the agency tracking and reporting pre-employment transition services provided to students with disabilities?									
			Contol A	ctivities	Information and Communication		Monitor	ing Activities	Risk Assessment	
		Responsible Person	Is there a written policy?	Are procedures written?	Has there been training?	Other means of I & C?	Is a review and approval process in place?	Is data / reporting analyzed for accuracy / reasonableness?	Are errors recorded and analyzed for correction?	Is there a plan in place for management to address risk?
	VR Services									
24.	To what extent has the VR agency's coordination with other WIOA partner programs in the state benefitted VR program participants in terms of the availability and provision of VR services?  Section: Technical Assistance									
56.	Has the VR agency received technical assistance from RSA staff on any issue or topic related to this focus area? If so, please describe the nature of the technical assistance provided.									
58.	Did the technical assistance provided by RSA and RSA-funded centers aid the VR agency in correcting or improving its delivery of services to individuals with disabilities?									

			Contol Activities		Information and Communication		Monitoring Activities		Risk Assessment	
		Responsible Person	Is there a written policy?	Are procedures written?	Has there been training?	Other means of I & C?	Is a review and approval process in place?	Is data / reporting analyzed for accuracy / reasonableness?	Are errors recorded and analyzed for correction?	Is there a plan in place for management to address risk?
	VR Services									
23.	To what extent has the agency increased its employment engagement strategies since the passage of WIOA? What has been the effect on the quantity and quality of employment outcomes for individuals with disabilities?									
	Quality of Employment Outcomes									
41.	What stategies has the VR agency engaged in to assist individuals with disabilities to advance in employment, including advance training in the fields of science, technology, engineering, or mathemetics (STEM), including computer science, medicine, law, or business?									
42.	To what extent is the VR agency's collaboration with employers and workforce development partners resulting in opportunities for quality employment outcomes?									
43.	How is the VR agency 'rethinking' its policies and practices, including business engagement, to assist individuals with the most significant disabilities to achieve quality supported employment outcomes consistent with their informed choice and career goals?									
	Pre-Employment Transistion Service									
48b.	Explain why any reported students with disabilities may not be receiving pre-employment transition services.									
50b.	What stategies is the VR agency using to encourage potentially eligible students with disabilities to consider applying for the VR program?									
51b.	Which of the five required pre-employment transition services does the VR agency provide most and least frequently? <b>Why?</b>									